

02/05/02

J1019 U.S. PTO
10/062710
02/05/02**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

3781-001-27

First Inventor or Application Identifier

Frank Q. Li et al.

Title

POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED
EPITOPES VIA PEPTIDE VACCINES**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **65**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**
4. ☒ Oath or Declaration Total Pages **3**
- a. ☒ Newly executed (original)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
- ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4B, is considered to be part
of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☐ Other:

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:


Group Art Unit:

Amend the specification by inserting before the first line the sentence:

This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☒ This application claims priority of provisional application Serial No. 60/310,498

Filed August 8, 2001

18. CORRESPONDENCE ADDRESSSupervisor, Patent Prosecution Services
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
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		Registration No.	

FEE TRANSMITTAL

Docket No. 3781-001-27
 Serial No. NEW PATENT APPLICATION
 Filing Date HEREWITH
 Inventor(s) Frank Q. Li et al
 Group Art Unit

TOTAL AMOUNT OF PAYMENT \$452.00

Examiner

1. ☒ Applicant claims small entity status.

☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
101	740	201	370	Utility filing fee	370.00
105	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$370.00

EXTRA CLAIM FEES

claims	14	-	20*	=	0	x	\$9	=	0
ind. claims	4	-	3*	=	1	x	\$42	=	42

☐ Multiple Dependent Claims \$140 =

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$42.00

* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$40.00

Name Steven B. Kelber

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Signature

Date February 5, 2002

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